## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Signature

Applicant

Craig L. Ogg, et al.

Application No.

09/692,747

Filed

October 18, 2000

Title

MACHINE DEPENDENT LOGIN FOR ON-LINE

VALUE-BEARING ITEM SYSTEM

Grp./Div.

2152

Examiner Docket No.

To be assigned

39478/RRT/S850

# INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 March 28, 2001

### Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

Raymond R. Tabandeh Reg. No. 43,945 626/795-9900

RRT/cks

Enclosures: PTO-1449, w/9 references

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FORM PTO-1449

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Application

Filing Date

Applicante

Applicante

(use as many sheets as necessary)

Attorney Docket Number	39478/RRT/S850
Application Number	09/692,747
Filing Date	October 18, 2000
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	2152
Examiner Name	To be assigned

		U.S.	PATENT DOCUMENTS			
EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,447,890	05/1984	Duwel et al.	364	900	
	4,743,747	05/1988	Fougere et al.	235	494	
	5,181,245	01/1993	Jones	380	23	
	5,341,505	08/1994	Whitehouse	395	800	
	5,742,683	04/1998	Lee et al.	380	23	
	5,825,893	10/1998	Kara	380	51	
	5,930,796	07/1999	Pierce et al.	707	100	
	5,983,227	11/1999	Nazem et al.	707	10	
	6,064,993	05/2000	Ryan, Jr.	705	403	

		FOREIGN	PATENT DOCUME	NTS			
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANS YES	LATION NO
						125	1,0

	OTHER DOCUMENTS
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER	DATE
SIGNATURE	CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Potent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

RRT/cks

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# IN THE LINGSED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on May 2, 2001.

Signature

Applicant

Craig L. Ogg, et al.

Application No.:

09/692,747

Filed

October 18, 2000

Title

MACHINE DEPENDENT LOGIN FOR ON-LINE

VALUE-BEARING ITEM SYSTEM

Grp./Div.

2152

Examiner

To be assigned

Docket No.

39478/SAH/S850

# INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 May 2, 2001

#### Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicant. Copies of each of the listed references are enclosed. Also enclosed is a copy of the Partial International Search Report, PCT/US00/41298, 01/03/2001.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

### **Application No.09/692,747**

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

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Raymond R. Tabandeh Reg. No. 43,945 626/795-9900

RRT/mas

Enclosures: PTO 1449, w/9 references

Partial International Search Report

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ORM PTO-1449	Attorney Docket Number	39478/RRT/S850
MAR 1 3 2006	Application Number	09/692,747
INFORMATION DISCLOSURE	Filing Date	October 18, 2000
STATEMENT BY APPLICANT	Applicant(s)	Craig L. Ogg, et al.
	Group Art Unit	2152
(use as many sheets as necessary)	Examiner Name	To be assigned

		U.S.	PATENT DOCUMENTS			
EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,684,951	11/1997	Goldman et al.	395	188.01	
	5,825,893	10/1998	Kara	380	51	

		FOREIC	GN PATENT DOCUMEN	TS	•		
DV 13 (13 VID					TRANSLATION		
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	YES	NO
	2 318 486	04/1998	Great Britain	<u></u>			
	0 854 448	07/1998	European Patent Office				
	0 927 958	07/1999	European Patent Office				
-	WO 94/27258	11/1994	WIPO				
	WO 98/51029	11/1998	WIPO				
	WO 98/57302	12/1998	WIPO				

	OTHER DOCUMENTS
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	United States Postal Service, "Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems", XP-002138350, Entire Document (49 pgs.), 01/1999

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

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D.C. 20231 on March 8, 2002.

Clara Magallón

Applicant

Craig L. Ogg, et al.

Application No.

MAR 1 3 7006

09/692,747

Filed

October 18, 2000

Title

MACHINE DEPENDENT LOGIN FOR ON-LINE

VALUE-BEARING ITEM SYSTEM

Grp./Div.

2152

Examiner Docket No.

To be assigned 39478/RRT/S850

# INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 March 8, 2002

#### Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicants. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b). To the best of undersigned's knowledge, this Statement is being filed before the mailing date of a first Office Action on the merits.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicants also request that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicants with the next communication from the Office in accordance with MPEP § 609. Any deficiency of fees for this transaction should be charged or credited to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Bv

Raymond R. Tabandeh Reg. No. 43,945 626/795-9900

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Enclosures: I

PTO/SB/08A/B, w/references (6)

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	39478/RRT/S850
MAR 1 3 7006	Application Number	09/692,747
INFORMATION DISCLOSURE	Filing Date	October 18, 2000
STATEMENT BY APPLICANT	Applicant(s)	Craig L. Ogg, et al.
(	Group Art Unit	2152
(use as many sheets as necessary)	Examiner Name	To be assigned

U.S. PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No.1	DOCUMENT NUMBER Number - kind code <sup>2</sup> - (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE	
		US 4,831,555 A	05-16-1989	Sansone et al.	
		US 4,900,903 A	02-13-1990	Wright et al.	
		US 4,900,904 A	02-13-1990	Wright et al.	
		US 4,908,770 A	03-13-1990	Breault et al.	
		US 5,111,030 A	05-05-1992	Brasington et al.	
		US 5,454,038 A	09-26-1995	Cordery et al.	

		FOREIG	N PATENT DOC	UMENTS	
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T° (⁄)

OTHER DOCUMENTS .				
EXAMINER INITIALS	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		

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EXAMINER	DATE	
SIGNATURE	CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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MAR 1 3 7005

## UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

: Craig L. Ogg, et al.

Application No.

: 09/692,747

Filed

: October 18, 2000

Title

: MACHINE DEPENDENT LOGIN FOR ON-LINE VALUE-BEARING ITEM

**SYSTEM** 

Grp./Div.

: 2153

Examiner

: Bradley E. Edelman

Docket No.

: 39478/RRT/S850

### INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Post Office Box 7068 Pasadena, CA 91109-7068 August 6, 2003

#### Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

Raymond R. Tabandeh Reg. No. 43,945

626/795-9900

RRT/clv

Enclosures:

PTO/SB/08A/B, w/references

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\$ " . E ". FORM PTO/SB/08A/B (10-01) **Attorney Docket Number** 39478/RRT/S850 Substitute for PTO-1449A/B MAR 1 3 7006 Application Number 09/692,747 INFORMATION DISCLO October 18, 2000 Filing Date STATEMENT BY APPLICANT Craig L. Ogg, et al. Applicant(s) 2153 Group Art Unit (use as many sheets as necessary) Bradley E. Edelman Examiner Name

		U.S. PA	TENT DOCUMENTS	
EXAMINER INITIALS	Cite No.1	DOCUMENT NUMBER  Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE

		FOREIGN P	ATENT DOCUMENT	S	
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✔)
		WO 98/57460	12-17-1998	CORDERY, et al.	

		OTHER DOCUMENTS
EXAMINER INITIALS	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		U.S. Application Serial No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.
	•	U.S. Application Serial No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.

EXAMINER SIGNATURE	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE